

Date of Deposit: October 4, 2005

**10/551944**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Tomomichi Hasgimoto et al.

International

Serial No. PCT/JP2004/05111

U.S. Serial No.: Applied for

Intl. Filing Date: April 9, 2004

U.S. Filing Date: Herewith

Title: POLYORGANOSILOXANE-  
CONTAINING GRAFT  
COPOLYMER, RESIN  
COMPOSITIONS CONTAINING  
THE SAME AND PROCESS  
FOR PRODUCTION OF  
POLYORGANOSILOXANE  
EMULSIONS

Examiner: Not Assigned

Art Unit: Not Assigned

Attorney Docket No: 5404/121

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

**U.S. PATENT DOCUMENTS**

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME
5,219,932 A	06/15/1993	Yamamoto et al.
US 2002/0002063 A1	01/03/2002	Miyamoto et al.
US 2005/0038149 A1	02/17/2005	Hashimoto et al.

## FOREIGN PATENT DOCUMENTS 10/551944

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
JP 5-25228 A	02/02/1993	Japan
JP 7-33836 A	02/03/1995	Japan
JP 7-206951 A	08/08/1995	Japan
JP 8-3253 A	01/09/1996	Japan
JP 2000-17029 A	01/18/2000	Japan
JP 2000-264968 A	09/26/2000	Japan
JP 2002-26807 A	01/25/2002	Japan
JP 2002-201243 A	07/19/2002	Japan
JP 2003-20437 A	01/24/2003	Japan
JP 2003-96142 A	04/03/2003	Japan
JP 2003-238639 A	08/27/2003	Japan
JP 2003-327635 A	11/19/2003	Japan
EP 0 486 853 A1	05/27/1992	EPO

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

International Search Report from corresponding International Application  
No. PCT/JP2004/005111, dated July 13, 2004, 8 pages.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2).

Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

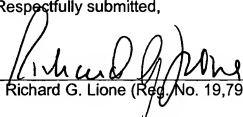
By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

10/ 551 944  
JC05 Rec'd PCT/PTO 4 OCT 2005

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

October 4, 2005  
Date

  
Richard G. Lione (Reg. No. 19,795)

BRINKS  
HOFER  
GILSON  
& LIONE

FORM PTO-1449	INTERNATIONAL APPLICATION NO. PCT/JP2004/051111	CASE NO. 551 944
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	INTERNATIONAL FILING DATE April 9, 2004	GROUP ART UNIT Not Assigned
APPLICANT(S): Tomomichi Hasegimoto et al.		

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,219,932 A	06/15/1993	Yamamoto et al.		
	A2	US 2002/0002063 A1	01/03/2002	Miyamoto et al.		
	A3	US 2005/0038149 A1	02/17/2005	Hashimoto et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A4	JP 5-25228 A	02/02/1993	Japan		Abstract
	A5	JP 7-33836 A	02/03/1995	Japan		Abstract
	A6	JP 7-206951 A	08/08/1995	Japan		Abstract
	A7	JP 8-3253 A	01/09/1996	Japan		Abstract
	A8	JP 2000-17029 A	01/18/2000	Japan		Abstract
	A9	JP 2000-264968 A	09/26/2000	Japan		Abstract
	A10	JP 2002-26807 A	01/25/2002	Japan		Abstract
	A11	JP 2002-201243 A	07/19/2002	Japan		Abstract
	A12	JP 2003-20437 A	01/24/2003	Japan		Abstract
	A13	JP 2003-96142 A	04/03/2003	Japan		Abstract
	A14	JP 2003-238639 A	08/27/2003	Japan		Abstract
	A15	JP 2003-327635 A	11/19/2003	Japan		Abstract
	A16	EP 0 486 853 A1	05/27/1992	EPO		

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
A17	International Search Report from corresponding International Application No. PCT/JP2004/005111, dated July 13, 2004, 8 pages.

EXAMINER /Margaret Moore/	DATE CONSIDERED 01/22/2010
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.